

**A. Data of Complaints Against ATSL as Debenture Trustees (DTs) for the month of March 2024**

Data for the month ending March 2024

S.No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month <sup>^</sup>		Average Resolution time <sup>^^</sup> (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**B. Data of complaints in respect of Non-Convertible Debt Securities dealt by ATSL as Debenture Trustees (DTs) for the month of March 2024**

Data for the month ending March 2024

S.No.	Received from	Carried forward from previous month	Received during the month	Total Pending \$	Resolved <sup>**</sup>	Pending at the end of the month <sup>^</sup>		Average Resolution time <sup>^^</sup> (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	1	0	1	0	0	17
2	SEBI (SCORES)	0	1	1	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	

<sup>\*\*</sup>Should include complaints of previous months resolved in the current month, if any.

<sup>^</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

<u>S</u> Name of the issuer	No. of compliants	Reason for Pendency
SREI Equipment Finance Limited	1	ATSL has received a complaint on the SCORES portal on 26.03.2024 regarding non-receipt of payment of both 1st tranche and 2nd tranche payment and to know about the status of issue of security receipts and optionally convertible debentures issued as per the approved resolution plan. The required information has been provided to investor through email dated 28.03.2024. The action taken report was sent to SEBI through email dated 28.03.2024. Subsequently the ATR was also uploaded in the SCORES portal on 01.04.2024 and the complaint was found disposed off on SEBI portal on same date.

**#Month – wise complaints data on half yearly basis (on aggregate basis)**

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	October, 2023	194	0	0	194
2	November, 2023	194	3	1	196
3	December, 2023	196	3	5	194
4	January, 2024	194	2	195	1
5	February, 2024	1	0	1	0
6	March, 2024	0	2	1	1
	<b>Grand Total</b>		<b>10</b>	<b>203</b>	<b>1</b>

#The information includes complaints from A+B as above

**Trend of annual (Financial Year) disposal of complaints**

SN	Year	Carried	Received	Resolved	Pending
1	2019-20	153	15	13	155
2	2020-21	155	199	90	264
3	2021-22	264	149	204	209
4	2022-23	209	16	32	193
5	2023-24	193	18	210	1
	<b>Grand Total</b>		<b>397</b>	<b>549</b>	<b>1</b>